

06/22/01
JC839 U. S. PTO06-25-01
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06/22/01
09/887145UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS		ADDRESS TO:	
<p>See MPEP chapter 600 concerning utility patent application contents.</p> <p>1. <input type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) (Submit an original and a duplicate for fee processing)</p> <p>2. <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 42] (Preferred arrangement set forth below) <ul style="list-style-type: none"> - Descriptive title of the invention - Cross Reference to Related Applications - Statement Regarding Fed sponsored R & D - Reference to sequence listing, a table, or a computer program listing appendix - Background of the Invention - Brief Summary of the Invention - Brief Description of the Drawings (if filed) - Detailed Description - Claim(s) - Abstract of the Disclosure </p> <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets 6]</p> <p>5. Oath or Declaration [Total Pages 3] <ul style="list-style-type: none"> a. <input checked="" type="checkbox"/> Newly executed (original or copy) Copy from a prior application (37 CFR 1.63 (d)) b. <input type="checkbox"/> (for continuation/divisional with Box 17 completed) <ul style="list-style-type: none"> i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). </p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>		<p>Assistant Commissioner for Patents Box Patent Application Washington, DC 20231</p> <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) <ul style="list-style-type: none"> a. <input type="checkbox"/> Computer Readable Form (CRF) b. Specification Sequence Listing on: <ul style="list-style-type: none"> i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or ii. <input type="checkbox"/> paper c. <input type="checkbox"/> Statements verifying identity of above copies </p>	
ACCOMPANYING APPLICATION PARTS			
<p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney (when there is an assignee)</p> <p>11. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>12. <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) (Should be specifically itemized)</p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) (if foreign priority is claimed)</p> <p>16. <input checked="" type="checkbox"/> Other: Accounting Sheet</p>			

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

 Continuation Divisional Continuation-in-part (CIP)

of prior application No.:

09/855,468

Prior application information:

Examiner

n/a

Group I Art Unit:

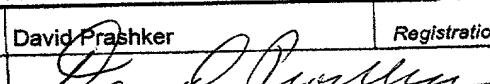
n/a

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

 Customer Number or Bar Code Label:or Correspondence address below

Name	David Prashker			
	DAVID PRASHKER, P.C.			
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Name (Print/Type)	David Prashker	Registration No. (Attorney/Agent)	29,693
Signature			Date 0/22/01

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

ACCOUNTING SHEET

The Commissioner For Patents And Trademarks
Washington, D.C. 20231

UBC-002
Case Docket No.

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s): Seung U. Kim
For: "Immortalized Human Microglia Cell And Continuous
Cell Line"

XXX Enclosed are:

42 pages of Specification.
 3 pages of claims.
 6 sheets of drawing.
 An original combined Declaration And Power Of Attorney.
 A written assertion of entitlement to Small Entity status
pursuant to 37 C.F.R. 1.27.
 An Assignment of the invention to _____.
 Other _____.

XXX The filing fee has been calculated as shown below:

Recording each Assignment..... \$ 40 \$ 40

(Col. 1) (Col. 2) SMALL ENTITY LARGE ENTITY

FOR: No. Filed / No. Extra / Rate - Fee (or) Rate - Fee

BASIC FEE: ***** ***** *** \$ 355 *** \$ ***

TOTAL CLAIMS: 17 - 20 = 0 x \$ 9 = \$ 00 (or) x \$18 = \$ ****

INDEP CLAIMS: 2 - 3 = 0 x \$40 = \$ 00 (or) x \$80 = \$

**MULTIPLE DEPENDENT
CLAIM PRESENTED** +\$135 = \$ 00 (or) +\$270 = \$ ****

TOTAL = \$ 355

XXX A check in the amount of \$ 355.00 for the filing fee is enclosed.

“Express Mail mailing label number

ET182838578US

Date of
Deposit

June 22, 2001

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 C.F.R. 1.10 with the mailing label number and the date indicated above, and is addressed to the Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

David Prashker

(Typed or printed name of person mailing paper or fee)

Daniel P. Cullen

(Signature of person mailing paper or fee)

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Seung U. Kim
Serial No. : yet to be provided
Filed : herewith
For : "IMMORTALIZED HUMAN MICROGLIA
CELL AND CONTINUOUS CELL LINE"
Attorney's Docket No : UBC-002

WRITTEN ASSERTION OF SMALL ENTITY STATUS
PURSUANT TO 37 C.F.R. 1.27

Assistant Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

Dear Sir:

Pursuant to the provisions of 37 C.F.R. 1.27 as amended and effective September 8, 2000 and in accordance with Section 1.27(c) in particular, the above-identified applicant hereby formally presents and files his written assertion of entitlement to Small Entity status.

Respectfully submitted,

SEUNG U. KIM

By: 
David Prashker
Reg. No. 29,693
Attorney for applicant

Date: June 22, 2001
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